

BACKGROUND SCREENING REPORT
BIG PHARMA CO.
MARY MORRIS
Confidential

OUR REF:	312000		
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SUBJECT PARTICULARS

SURNAME:	MORRIS (A.K.A. CRUZE)
GIVEN NAME:	MARY
DATE OF BIRTH:	VERIFIED
SOCIAL SECURITY NUMBER:	VERIFIED
ADDRESS:	VERIFIED

CRIMINAL RECORD SEARCH

A nationwide search of the records of the US Federal Criminal Courts was conducted using the subject's current and maiden name. This search surfaced one record which relates to the subject:

An August 2006 criminal complaint filed in the US District Court for the District of New Jersey against the subject, among others. The complaint alleges the defendants conspired and agreed to devise a scheme to defraud and obtain money through false pretenses. According to the complaint, the defendants were employed at a pharmaceutical company in Bergen County, New Jersey from December 6, 2004, and April 25, 2006. In or around February 2006, law enforcement officials learned that certain designated payees of the pharmaceutical company were not receiving payments. This prompted an internal audit which revealed that Ms. Morris had diverted payments designated to various legitimate payees and submitted fraudulent invoices to the accounting department requesting that at least some of the checks be drafted to "V.C.S., LLC," a company Ms. Morris had created and opened a Wachovia checking account in the same name. In addition, Ms. Morris had mailed the codefendants in Georgia checks made payable to different legitimate payees for the codefendants to alter. The subject spoke with law enforcement officers on June 1 and June 5, 2006, at which time she admitted to arranging to have invoices processed while at work, creating a business account with her bank in the name of V.C.S., LLC, diverting checks from her employer to that account, and diverting checks to legitimate payees to the codefendants in Georgia to be altered and deposited. On September 7, 2006, the court ordered bail for the subject to be set at \$25,000. As of January 7, 2007, a third motion for continuance was filed with the court, extending the discovery period to March 7, 2007.

Upon reviewing the complaint, additional investigative work was conducted to verify the subject and the defendant are one in the same. First, a call was placed to the United States District Attorney's office where the clerk's office of the US District Court for the State of New Jersey, Jess Nelson, confirmed that the defendant's address matched the address the subject supplied to ABC Company on her application and consent form. No additional information will be made available about the defendant (i.e.: social security number or date of birth) until the case is settled in the courts and a judgment is entered. We note, however, according to Mr. Nelson, because the case contains a magistrate number, the conclusion is that the subject is preparing to plea guilty and the case will be transferred to the district court.

From there, XYZ Company, the company the subject listed as her prior employer, was contacted to confirm the subject's employment. The Human Resources director informed us the subject was employed at the firm between December 6, 2004 and April 25, 2006. The company's policy would not allow us to confirm that the company had fired the subject or if the subject was eligible for rehire.

Statewide felony and misdemeanor searches using the subject's name and date of birth were conducted in the States of Maryland, Georgia, and Virginia. The Maryland, Virginia, and Georgia searches did not surface any records relating to the subject.

We conducted county-level felony and misdemeanor searches using the subject's current and maiden name and date of birth in Hudson (felony only) (back to January 2000) and Bergen (felony only) (back to January 2000) Counties, New Jersey, Alexandria City County, Virginia (back to January 2000), Montgomery County, Maryland (back to January 2000), Washington County, District of Columbia (back to October 1999), Houston (back to July 1997) and Bibb (back to March 1998) Counties, Georgia, and all New York Counties (back to May 1995). The Hudson, Montgomery, Washington, Houston, Bibb, and New York County searches did not surface any records relating to the subject. The Bergen County search surfaced one record relating to the subject:

An October 2006 third class felony charge for writing bad checks in excess of \$200. The case was remanded to municipal court.

The Alexandria County searches surfaced three records relating to the subject:

A November 1999 charge for being a fugitive from justice. The charge was waived on January 4, 2004.

A January 2000 embezzlement felony charge. The charge was dropped to a Petit larceny misdemeanor charge on December 19, 2003. The subject was ordered to four months in jail and to pay \$176 in costs.



A December 2003 failure to attend court charge. The subject was found guilty and ordered to ten days in jail and \$176 in fines.

Summary details are attached.

DRUG SCREENING

The subject's drug lab test results for Substance Abuse Panel 10 were returned clear. The Panel included testing for the following: Amphetamines (1000 ng/ml screen), barbiturates, benzodiazepines, cocaine metabolites, marijuana metabolites, (50 ng/ml screen), methadone, methaqualone, opiates (2000 ng/ml screen), phencyclidine, and propoxyphene.

BANKRUPTCY AND CIVIL LITIGATION HISTORY

A search of US Federal Bankruptcy Court records using the subject's current and maiden name and SSN did not surface any records relating to the subject.

A search using the subject's name was conducted of the judgment, tax lien, public defender lien, municipal parking judgment, foreclosure and civil suit records of the Superior Courts of the State of New Jersey (excluding landlord-tenant and Chancery-Family Part). These searches surfaced two records relating to the subject:

An August 2006 lawsuit filed in Essex County by New Jersey Anesthesia Stone Center against the subject and John Morris in the amount of \$401.47. The case is marked open.

An October 2006 lien filed in the US District Court of New Jersey against the subject in the amount of \$25,000. The lien is marked open.

Two records could not be ruled out as possibly relating to the subject:

An October 2005 auto negligence lawsuit filed in Passaic County by Margaret Smith against a person with the same name as the subject, among others. The case was transferred to Bergen County. The Bergen County case is marked open.

A February 2006 auto negligence lawsuit filed in Bergen County by a person with the same name as the subject against James Lowes.

Summary details are attached.



A search was conducted using the subject's current and maiden name and variations thereof of the Virginia District and Small Claims court judgments. The searches surfaced three records relating to the subject:

A June 2002 lien filed in Alexandria City County by Marlo Furniture Company against the subject in the amount of \$1,054.29. The lien is marked open.

A November 2002 lien filed in Alexandria City County by May Department Stores against the subject in the amount of \$1,584.21. The lien is marked open.

A September 2003 lawsuit filed in Loudoun County by Capital Rentals, Inc. against the subject. The case is marked open.

A search was conducted using the subject's name and variations thereof of the suits, judgments, liens, and federal liens of the Maryland District and Appellate Courts. This search did not surface any records relating to the subject.

A search using the subject's name, and variations thereof, was conducted of the civil division judgments and small claims court judgments of the District of Columbia, together with a search of all Federal and District liens. These searches surfaced one record relating to the subject:

A December 1999 lien filed in Washington D.C. by Terri Carl against the subject in the amount of \$1,767.31. The lien is marked open.

A search using the subject's name was conducted of the judgment, tax lien, and civil litigation records of the Superior and Appellate courts, and the Supreme Court of the State of Georgia. The searches did not surface any records relating to the subject.

CONCLUSION

The subject is currently a defendant in a federal criminal case filed in New Jersey. According to the complaint, the subject devised and acted upon a plan to falsely obtain funds from her prior employer by submitting false invoices and diverting payments from their rightful payees to the coconspirators such that the payments may be altered and deposited into the subject's personal account. Currently, the subject has been granted bail in the amount of \$25,000. According to the court records, a third continuance for discovery has been granted through March 7, 2007.

We also surfaced a third class felony criminal charge against the subject from October 2006 in Bergen County, where the subject is being charged with writing bad checks in excess of \$200. The case was remanded to the municipal court.



We surfaced an alias for the subject of “Mary Cruze.” Using this alias, we surfaced three criminal records in Alexandria City County. The charges were being a fugitive from justice (which was waived), felony embezzlement (which was downgraded to petit larceny), and failure to appear at court.

In addition, the subject is the defendant in a contract dispute filed in August 2006 in which the amount in question is \$404.47.

Given the materiality of the charges against her and her prior litigation history, there are significant causes for concern with respect to the employment of this candidate.

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Our report is given as of the date hereof and is based upon (i) inquiries made to the specific federal, state or county databases requested to be searched by the addressee and (ii) the information contained in such databases (and such other databases identified above) as it exists as of this date. Unless requested to do so, we assume no obligation to update or supplement this report to reflect any data or information which may hereafter come to our attention. The report is intended only for the benefit of the party to whom it is addressed and may not be reproduced without our express written consent. It is our understanding that the addressee will use the information contained in this report in compliance with the terms of the Fair Credit Reporting Act, 15 U.S.C. 1681-1681u (“FCRA”) and that this report will not be delivered or disclosed to, or relied upon by, any individual or entity other than the addressee or the subject except as permitted by the FCRA.

